

Inventor(s): Marc ZEICHER
Appln. No.: 08/807,500 or Patent No.: _____
Filed: February 27, 1997 or Issued.: _____
Title: NUCLEOTIDE SEQUENCE FOR TREATING CANCER AND INFECTION

(Atty. Dkt.
236007/PZCOM01/USWO
M# / Client Ref.

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(d) and 1.27 (c)) - **SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:
NAME OF CONCERN Z-Company S.A.
ADDRESS OF CONCERN B-1050 Brussels, Belgium

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention **entitled:** NUCLEOTIDE SEQUENCE FOR TREATING CANCER AND INFECTION
by inventor(s) Marc ZEICHER described in

- x → ☐ the specification filed herewith,
one → ☒ Application No. 0 /, filed February 27, 1997
box → ☐ Patent No. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each small entity individual, concern or organization having rights to the invention is listed in (A) and (B) below and no rights to the invention are held by any person, other than the inventor, who could not qualify under 37 CFR 1.9(c) as an independent inventor if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

(A) FULL NAME of assignee/licensee/grantee/conveyee* Z-Company S.A.
ADDRESS Avenue General de Gaulle, 40, B-1050 Brussels, Belgium

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

(B) FULL NAME of assignee/licensee/grantee/conveyee* _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

*NOTE: Separate verified statement is required from each person, concern or organization named in (A) and (B) above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I acknowledge the duty to file, in this case, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING
TITLE OF PERSON OTHER THAN OWNER
ADDRESS OF PERSON SIGNING

SCHULMAN MARC
GENERAL MANAGER

SIGNATURE

Z COMPANY s.a.

40, Av. Gén. de Gaulle
1050 Bruxelles
☎ 647 52 06
Fax 640 02 41

FOR UTILITY/DESIGN
CIP/PCT NATIONAL/PLANT
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CUSHMAN
FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED NUCLEOTIDE SEQUENCE FOR TREATING CANCER AND INFECTION

the specification of which (CHECK applicable BOX(ES))

→ ☐ is attached hereto.

X → ☒ was filed on February 27, 1997

as U.S. Application No. 08/807,500

BOX(ES) ☒ was filed as PCT International Application No. PCT/

BE/93/00080

on December 10, 1993

→ and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)

Number	Country	Day/MONTH/Year Filed	Date first Laid-open or Published	Date Patented or Granted	Priority Claimed	
					Yes	N
09201087	Belgian	10-12-1992			<input checked="" type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>
					<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim domestic priority benefit under 35 U.S.C. 119/120/365 of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)

Application No. (series code/serial no.)	Day/MONTH/Year Filed	Status	Priority Claimed	
		pending, abandoned, patented	Yes	No
08/448,590	06/06/1995	pending	<input checked="" type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Cushman Darby & Cushman Intellectual Property Group of Pillsbury Madison & Sutro LLP, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or a below attorney in writing to the contrary.

Paul N. Kokulis	16773	David W. Brinkman	20817	Chris Comuntzis	31097	Mark G. Paulson	30793
Raymond F. Lippitt	17519	George M. Sirilla	18221	Lawrence Harbin	27644	James D. Berquist	34776
G.Lloyd Knight	17698	Donald J. Bird	25323	Paul E. White, Jr.	32011	Timothy J. Klima	34852
Carl G. Love	18781	W. Warren Taltavull	25647	Michelle N. Lester	32331	John P. Moran	30906
Edgar H. Martin	20534	Peter W. Gowdey	25872	Jeffrey A. Simenauer	31993	Stephen C. Glazier	31361
William K. West, Jr.	22057	Dale S. Lazar	28872	G. Paul Edgell	24238	Paul F. McQuade	31542
Kevin E. Joyce	20508	Glenn J. Perry	28458	Lynn E. Eccleston	35861	Barry L. Grossman	30844
Edward M. Prince	22429	Kendrew H. Colton	30368	David A. Jakopin	32995		

(1) INVENTOR'S SIGNATURE:

Date: July 30, 1997

First		Middle Initial		Family Name	
Residence		City		Country of Citizenship	
Post Office Address		State/Foreign Country		Country of Citizenship	
(include Zip Code)					

(2) INVENTOR'S SIGNATURE:

Date:

First		Middle Initial		Family Name	
Residence		City		Country of Citizenship	
Post Office Address		State/Foreign Country		Country of Citizenship	
(include Zip Code)					

(FOR ADDITIONAL INVENTORS, check box ☐ to attach CDC 116-2 same information for each re signature, name, date, citizenship, residence and address.)